

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : 08-13555 (SCC)  
Debtors. : (Jointly Administered)  
-----x Ref. Docket No. 45321

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                      ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On July 23, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
23<sup>rd</sup> day of July, 2014

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2018

**EXHIBIT A**

In re [redacted]  
LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.  
[redacted]

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: UBS AG  
BAHNHOFSTR. 45  
ATTN: HUGO KOLLER  
ZURICH 8001 SWITZERLAND

Additional: UBS AG  
ATTN: HUGO KOLLER, OQ9C/05GC  
P.O. BOX  
ZURICH 8098 SWITZERLAND

Transferee: ST. GALLER KANTONALBANK AG  
ST. LEONHARDSTRASSE 25  
ST. GALLEN 9000 SWITZERLAND

Your transfer of claim # 59233-75 is defective for the reason(s) checked below:

Other Verify Claim No.: 58233 or 59233

Docket Number 45321 Date 07/21/14

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on July 23, 2014.

**EXHIBIT B**

TIME: 11:39:40  
DATE: 07/23/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
ST. GALLER KANTONALBANK AG UBS AG UBS AG	ST. LEONHARDSTRASSE 25 ST. GALLEN 9000 SWITZERLAND DAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND ATTN: HUGO KOLLER, QQ9C/OSGC P.O. BOX ZURICH 8098 SWITZERLAND

Total Number of Records Printed

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